



Stirlingshire

Better for Clients + Better for Advisors

Pursuant to SEC Rule 606, Stirlingshire BD is required to make publicly available a quarterly report regarding its routing of non-directed orders. This information can be accessed on the internet at: <https://www.stirlingshire.com/pdf/rule606data.pdf>

SEC Rule 606(b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

Pursuant to SEC Rule 607, Stirlingshire BD is required to disclose at the time your account is opened, and annually thereafter, our payment for order flow practices. Stirlingshire BD does not directly route your equity orders to broker-dealers or market centers (i.e., primary exchanges or electronic communication networks ("ECN")) for execution and does not collect any payment for order flow.